

# The SPIRIT-PRO Protocol Guidance Checklist

Protocol Section	SPIRIT-PRO Item	Recommended Content	Page Addressed
<b>Administrative Information</b>			
Roles and responsibilities	SPIRIT-5a-PRO Elaboration	Specify the individual(s) responsible for the PRO content of the trial protocol.	
<b>Introduction</b>			
Background and rationale	SPIRIT-6a-PRO Extension	Describe the PRO-specific research question and rationale for PRO assessment and summarize PRO findings in relevant studies.	
Objectives	SPIRIT-7-PRO Extension	State specific PRO objectives or hypotheses (including relevant PRO concepts/domains).	
<b>Methods: Participants, Interventions, and Outcomes</b>			
Eligibility criteria	SPIRIT-10-PRO Extension	Specify any PRO-specific eligibility criteria (eg, language/reading requirements or pre-randomization completion of PRO). If PROs will not be collected from the entire study sample, provide a rationale and describe the method for obtaining the PRO subsample.	
Outcomes	SPIRIT-12-PRO Extension	Specify the PRO concepts/domains used to evaluate the intervention (eg, overall health-related quality of life, specific domain, specific symptom) and, for each one, the analysis metric (eg, change from baseline, final value, time to event) and the principal time point or period of interest.	
Participant timeline	SPIRIT-13-PRO Extension	Include a schedule of PRO assessments, providing a rationale for the time points, and justifying if the initial assessment is not pre-randomization. Specify time windows, whether PRO collection is prior to clinical assessments, and, if using multiple questionnaires, whether order of administration will be standardized.	
Sample size	SPIRIT-14-PRO Extension	When a PRO is the primary end point, state the required sample size (and how it was determined) and recruitment target (accounting for expected loss to follow-up). If sample size is not established based on the PRO end point, then discuss the power of the principal PRO analyses.	

Calvert M, Kyte D, Mercieca-Bebber R, et al. Guidelines for Inclusion of Patient-Reported Outcomes in Clinical Trial Protocols: The SPIRIT-PRO Extension. *JAMA*. 2017;319(5):483-494. doi:10.1001/jama.2017.21903

Note: The SPIRIT-PRO Extension should be used with the SPIRIT 2013 Statement and any other relevant SPIRIT Extensions, found at [spirit-statement.org](http://spirit-statement.org)

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<b>Methods: Data Collection, Management, and Analysis</b>			
Data collection methods	SPIRIT-18a(i)-PRO Extension	Justify the PRO instrument to be used and describe domains, number of items, recall period, and instrument scaling and scoring (eg, range and direction of scores indicating a good or poor outcome). Evidence of PRO instrument measurement properties, interpretation guidelines, and patient acceptability and burden should be provided or cited if available, ideally in the population of interest. State whether the measure will be used in accordance with any user manual and specify and justify deviations if planned.	
	SPIRIT-18a(ii)-PRO Extension	Include a data collection plan outlining permitted mode(s) of administration (eg, paper, telephone, electronic, other) and setting (eg, clinic, home, other).	
	SPIRIT-18a(iii)-PRO Extension	Specify whether more than 1 language version will be used and state whether translated versions have been developed using currently recommended methods.	
	SPIRIT-18a(iv)-PRO Extension	When the trial context requires someone other than a trial participant to answer on his or her behalf (a proxy-reported outcome), state and justify the use of a proxy respondent. Provide or cite evidence of the validity of proxy assessment if available.	
	SPIRIT-18b(i)-PRO Extension	Specify PRO data collection and management strategies for minimizing avoidable missing data.	
	SPIRIT-18b(ii)-PRO Elaboration	Describe the process of PRO assessment for participants who discontinue or deviate from the assigned intervention protocol.	
Statistical methods	SPIRIT-20a-PRO Elaboration	State PRO analysis methods, including any plans for addressing multiplicity/ type I ( $\alpha$ ) error.	
	SPIRIT-20c-PRO Elaboration	State how missing data will be described and outline the methods for handling missing items or entire assessments (eg, approach to imputation and sensitivity analyses).	
<b>Methods: Monitoring</b>			
Harms	SPIRIT-22-PRO Extension	State whether or not PRO data will be monitored during the study to inform the clinical care of individual trial participants and, if so, how this will be managed in a standardized way. Describe how this process will be explained to participants; eg, in the participant information sheet and consent form.	

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